

**DEPARTMENT OF DEFENSE  
CONTRACT SECURITY CLASSIFICATION SPECIFICATION**  
*(The requirements of the DoD Industrial Security Manual apply to all security aspects of this effort.)*

**1. CLEARANCE AND SAFEGUARDING**

a. FACILITY CLEARANCE REQUIRED

**SECRET**

b. LEVEL OF SAFEGUARDING REQUIRED

**SECRET**

**2. THIS SPECIFICATION IS FOR:** *(X and complete as applicable)*

a. PRIME CONTRACT NUMBER

b. SUBCONTRACT NUMBER

c. SOLICITATION OR OTHER NUMBER

N65540-02R-0005

DATE (YYYYMMDD)

**3. THIS SPECIFICATION IS:** *(X and complete as applicable)*

a. ORIGINAL *(Complete date in all cases)*

DATE (YYYYMMDD)

2001-09-21

b. REVISED  
*(Supersedes all previous specs)*

REVISION NO.

DATE (YYYYMMDD)

c. FINAL *(Complete Item 5 in all cases)*

DATE (YYYYMMDD)

**4. IS THIS A FOLLOW-ON CONTRACT?**

YES

NO

If Yes, complete the following:

Classified material received or generated under

*(Preceding Contract Number)* is transferred to this follow-on contract.

**5. IS THIS A FINAL DD FORM 254?**

YES

NO

If Yes, complete the following:

In response to the contractor's request dated

retention of the classified material is authorized for the period of

**6. CONTRACTOR** *(Include Commercial and Governmental Entity (CAGE) Code)*

a. NAME, ADDRESS, AND ZIP CODE

COMPETITIVE

b. CAGE CODE

c. COGNIZANT SECURITY OFFICE *(Name, Address, and Zip Code)*

**7. SUBCONTRACTOR**

a. NAME, ADDRESS, AND ZIP CODE

b. CAGE CODE

c. COGNIZANT SECURITY OFFICE *(Name, Address, and Zip Code)*

**8. ACTUAL PERFORMANCE**

a. LOCATION

CONUS/OCONUS

b. CAGE CODE

c. COGNIZANT SECURITY OFFICE *(Name, Address, and Zip Code)*

**9. GENERAL IDENTIFICATION OF THIS PROCUREMENT**

PROVIDE TECHNICAL AND LOGISTICS SUPPORT FOR THE IMPLEMENTATION, EXECUTION, AND PROTOTYPE DEVELOPMENT OF CONDITION ASSESSMENT AND OTHER ADVANCED TECHNOLOGIES FOR NAVAL SHIP SYSTEMS ON SURFACE SHIP AND SUBMARINE HULLS.

**10. CONTRACTOR WILL REQUIRE ACCESS TO:**

YES

NO

a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION

b. RESTRICTED DATA

c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION

d. FORMERLY RESTRICTED DATA

e. INTELLIGENCE INFORMATION

(1) Sensitive Compartmented Information (SCI)

(2) Non-SCI

f. SPECIAL ACCESS INFORMATION

g. NATO INFORMATION

h. FOREIGN GOVERNMENT INFORMATION

i. LIMITED DISSEMINATION INFORMATION

j. FOR OFFICIAL USE ONLY INFORMATION

k. OTHER *(Specify)*

**11. IN PERFORMING THIS CONTRACT, THE CONTRACTOR WILL:**

YES

NO

a. HAVE ACCESS TO CLASSIFIED INFORMATION ONLY AT ANOTHER CONTRACTOR'S FACILITY OR A GOVERNMENT ACTIVITY

b. RECEIVE CLASSIFIED DOCUMENTS ONLY

c. RECEIVE AND GENERATE CLASSIFIED MATERIAL

d. FABRICATE, MODIFY, OR STORE CLASSIFIED HARDWARE

e. PERFORM SERVICES ONLY

f. HAVE ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S. (EXCEPT FOR U.S. FACILITIES AND TEMPORARY TELETYPE CENTERS)

g. BE AUTHORIZED TO USE THE SERVICES OF DEFENSE TECHNICAL INFORMATION CENTER (DTIC) OR OTHER SECONDARY DISTRIBUTION CENTER

h. REQUIRE A COMSEC ACCOUNT

i. HAVE TEMPEST REQUIREMENTS

j. HAVE OPERATIONAL SECURITY (OPSEC) REQUIREMENTS

k. BE AUTHORIZED TO USE THE DEFENSE COURIER SERVICE

l. OTHER *(Specify)*

12. **PUBLIC RELEASE.** Any information (classified or unclassified) pertaining to this contract shall not be released for public dissemination except as provided by the Industrial Security Manual or unless it has been approved for public release by appropriate U.S. Government authority. Proposed public releases shall be submitted for approval prior to release  Direct  Through (Specify)

COMMANDER, NAVAL SURFACE WARFARE CENTER, CARDEROCK DIVISION,  
SHIP SYSTEMS ENGINEERING STATION, PHILADELPHIA NAVAL BUSINESS CENTER,  
PHILADELPHIA PA 19112-5083

to the Directorate for Freedom of Information and Security Review, Office of the Assistant Secretary of Defense (Public Affairs)\* for review.  
\*In the case of non-DoD User Agencies, requests for disclosure shall be submitted to that agency.

13. **SECURITY GUIDANCE.** The security classification guidance needed for this classified effort is identified below. If any difficulty is encountered in applying this guidance or if any other contributing factor indicates a need for changes in this guidance, the contractor is authorized and encouraged to provide recommended changes; to challenge the guidance or the classification assigned to any information or material furnished or generated under this contract; and to submit any questions for interpretation of this guidance to the official identified below. Pending final decision, the information involved shall be handled and protected at the highest level of classification assigned or recommended. (Fill in as appropriate for the classified effort. Attach, or forward under separate correspondence, any documents/guides/contracts referenced herein. Add additional pages as needed to provide complete guidance.)

THE CONTRACTOR SHALL COMPLY WITH FAR CLAUSE 52.204-2 ENTITLED, "SECURITY REQUIREMENTS AND NISPOM (NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL) IN ADMINISTERING SECURITY MATTERS INCIDENTAL TO THE PERFORMANCE OF THE REQUIREMENTS OF THE CONTRACT

PER OPNAVINST 5510.36 (EXHIBIT 8A) ALL NEWLY GENERATED TECHNICAL DOCUMENTS CLASSIFIED AND/OR UNCLASSIFIED WILL BE ASSIGNED A DISTRIBUTION STATEMENT. EXHIBIT 8A IS PROVIDED UNDER ENCLOSURE (1).

"NAVY NUCLEAR PROPULSION INFORMATION (NNPI) ATTACHED; ENCLOSURE (2) REFERS. SECURITY CLASSIFICATION GUIDES OPNAVINST 5511.32A AND CG-RN-1 (REV. 2)

CONTRACTOR WILL BE REQUIRED TO RECEIVE AND GENERATE CLASSIFIED DOCUMENTS IN PERFORMANCE OF THE CONTRACT. THE CLASSIFICATION MARKINGS ON THE MATERIAL TO BE FURNISHED WILL PROVIDE THE CLASSIFICATION GUIDANCE NECESSARY FOR PERFORMANCE OF THE CONTRACT. ALL CLASSIFIED MATERIAL WILL BE SAFEGUARDED IN ACCORDANCE WITH THE NISPOM.

NOTE: CLASSIFIED OR UNCLASSIFIED MATERIAL WHICH IS MARKED "NOT RELEASEABLE TO FOREIGN NATIONS" (NOFORN OR NF) MAY NOT BE RELEASED IN ANY FORM TO FOREIGN GOVERNMENTS, FOREIGN NATIONS, NON-U.S. CITIZENS, OR ANYONE REPRESENTING A FOREIGN GOVERNMENT OR FOREIGN PRIVATE INTERESTS WITHOUT THE PERMISSION OF THE ORIGINATOR.

IF AT ANYTIME THE CONTRACTOR IS IN DOUBT AS TO THE REQUIREMENTS FOR SAFEGUARDING, MARKING, TRANSMITTING, OR STORING CLASSIFIED MATERIAL THEY ARE REQUIRED TO CALL THE INDIVIDUAL LISTED IN ITEM 16A FOR FURTHER GUIDANCE.

14. **ADDITIONAL SECURITY REQUIREMENTS.** Requirements, in addition to ISM requirements, are established for this contract. (If Yes, identify the pertinent contractual clauses in the contract document itself, or provide an appropriate statement which identifies the additional requirements. Provide a copy of the requirements to the cognizant security office. Use Item 13 if additional space is needed.)  Yes  No

15. **INSPECTIONS.** Elements of this contract are outside the inspection responsibility of the cognizant security office. (If Yes, explain and identify specific areas or elements covered out and the activity responsible for inspections. Use Item 13 if additional space is needed.)  Yes  No

16. **CERTIFICATION AND SIGNATURE.** Security requirements stated herein are complete and adequate for safeguarding the classified information to be released or generated under this classified effort. All questions shall be referred to the official named below.

a. TYPED NAME OF CERTIFYING OFFICIAL DOROTHY D. MORTON	b. TITLE SECURITY MANAGER	c. TELEPHONE (Include Area Code) (215) 897-1623
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d. ADDRESS (Include Zip Code)  
NAVAL SURFACE WARFARE CENTER, CARDEROCK  
DIVISION, SHIP SYSTEMS ENGINEERING STATION,  
PNBC, PHILADELPHIA, PA 19112-5083

e. SIGNATURE

17. **REQUIRED DISTRIBUTION**

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | a. CONTRACTOR   |
| <input checked="" type="checkbox"/> | b. SUBCONTRACTOR  |
| <input checked="" type="checkbox"/> | c. COGNIZANT SECURITY OFFICE FOR DDPM AND SDC CONTRACTORS         |
| <input checked="" type="checkbox"/> | d. U.S. ACTIVITY RESPONSIBLE FOR CRUDEMAN SECURITY ADMINISTRATION |
| <input checked="" type="checkbox"/> | e. ADMINISTRATIVE CONTRACTING OFFICE                              |
| <input checked="" type="checkbox"/> | f. DISSEMINATION OFFICE   |

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## EXHIBIT 8A

PROCEDURES FOR ASSIGNING DISTRIBUTION  
STATEMENTS ON TECHNICAL DOCUMENTS

1. Newly generated unclassified technical documents shall be assigned Distribution Statements A, B, C, D, E, F, or X. If not already in the public domain and are likely to be disseminated outside the DoD, existing unclassified technical documents shall be assigned Distribution Statements A, B, C, D, E, F, or X.
2. Technical documents in preliminary or working draft form shall not be disseminated without a proper security classification review and assignment of a distribution statement.
3. Classified technical documents shall be assigned Distribution Statements B, C, D, E, or F. The distribution statement assigned to a classified document shall be retained on the document after declassification or until specifically changed or removed by the originating command. If a technical document without a distribution statement is declassified, it shall be handled as a Distribution Statement F document until otherwise notified by the originating command.
4. If a newly generated technical document contains export-controlled technical data, it shall be marked with the statement in paragraph 1 under "ADDITIONAL NOTICES," in addition to Distribution Statements B, C, D, E, F, or X.
5. Scientific and technical documents which include a contractor-imposed "limited rights" statement shall be appropriately marked and controlled (see "CONTRACTOR-IMPOSED DISTRIBUTION LIMITATIONS" below).
6. The distribution statement shall be displayed conspicuously so it is readily recognized by recipients. For standard written or printed material, the distribution statement shall appear on the face of the document, title page, and DD 1473, "Report Documentation Page." When possible, parts that contain information creating the requirement for the distribution statement shall be prepared as an appendix to permit broader distribution of the basic document. When practicable, the abstract of the document, the DD 1473, and bibliographic citations shall be written in such a way that the information shall not be subject to Distribution Statements B, C, D, E, F, or X. If the technical information is not in standard written or printed form and does not have a cover or title page, the

Encl (1)

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distribution statement shall be conspicuously stamped, printed, or written by other means.

7. Distribution statements remain in effect until changed or removed by the originating command. Each command shall establish and maintain a procedure for review of technical documents for which it is responsible, with the objective of increasing their availability as soon as conditions permit. Public release determinations shall be processed per DoD Instruction 5230.29 of 6 May 1996 (NOTAL). When public release clearance is obtained, Distribution Statement A shall be assigned and document handling facilities, including the Defense Technical Information Center (DTIC), shall be notified.

8. Technical documents with superseded distribution limitation markings shall be reviewed and assigned the appropriate distribution statement when a request for the document is received. Superseded distribution limitation markings shall be converted as follows:

a. Documents with distribution marking A or B need not be reevaluated or remarked.

b. Documents with distribution marking #2 shall be assigned Distribution Statement C.

c. Documents with distribution marking #3 (U.S. Government Only) shall be assigned Distribution Statement E.

d. Documents with distribution marking #4 (DoD Only) shall be assigned Distribution Statement E.

e. Documents with distribution marking #5 (Controlled) shall be assigned Distribution Statement F.

9. Originating commands shall promptly notify DTIC and other information repositories holding their technical documents when:

a. The address of designated originating commands is changed.

b. The originating command is redesignated.

c. Classification markings, distribution statements, or export control statements are changed.

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**DISTRIBUTION STATEMENTS**

1. The following distribution statements are authorized for use on technical documents:

a. **"DISTRIBUTION STATEMENT A: Approved for public release; distribution is unlimited."**

(1) This statement shall be used only on unclassified technical documents that have been cleared for public release by competent authority per DoD Instruction 5230.29 (NOTAL) and DoD Directive 5230.9 of 9 April 1996 (NOTAL).

(2) Technical documents resulting from contracted fundamental research efforts shall normally be assigned Distribution Statement A, except for those rare and exceptional circumstances where there is a high likelihood of disclosing performance characteristics of military systems, or of manufacturing technologies that are unique and critical to defense, and agreement on this situation has been recorded in the contract or grant.

(3) Technical documents with this statement may be made available or sold to the public including foreign nationals, companies, and governments, and may be exported.

(4) This statement shall never be used on technical documents that formerly were classified without a positive determination of such releasability by the command exercising cognizance over the information prior to release.

(5) This statement shall not be used on classified technical documents or documents containing export-controlled technical data as provided in OPNAVINST 5510.161 of 29 July 1985.

b. **"DISTRIBUTION STATEMENT B: Distribution authorized to U.S. government agencies only; (fill in reason) (date). Other requests for this document shall be referred to (insert originating command)."**

(1) This statement shall be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

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(2) Reasons for assigning Distribution Statement B include:

(a) FGI - To protect and limit information distribution per the desires of the foreign government that furnished the technical information. Information of this type is normally classified at the Confidential level or higher.

(b) Proprietary Information - To protect information not owned by the U.S. Government and protected by a contractor's "limited rights" statement, or received with the understanding that it may not be routinely transmitted outside the U.S. Government.

(c) Critical Technology - To protect information and technical data that advance current technology or describe new technology in an area of significant or potentially significant military application or that relate to a specific military deficiency of a potential adversary. Information of this type may be classified or unclassified; when unclassified, it is export-controlled and subject to the provisions of OPNAVINST 5510.161 of 29 July 1985.

(d) Test and Evaluation - To protect results of test and evaluation of commercial products or military hardware when disclosure may cause unfair advantage or disadvantage to the manufacturer of the product.

(e) Contractor Performance Evaluation - To protect information in management reviews, records of contract performance evaluation, or other advisory documents evaluating programs of contractors.

(f) Premature Dissemination - To protect patentable information on systems or processes in the developmental or concept stage from premature dissemination.

(g) Administrative/Operational Use - To protect technical or operational information from automatic dissemination under the International Exchange Program or by other means. This protection covers publications required solely for official use or strictly for administrative or operational purposes. This statement shall be applied to manuals, pamphlets, technical orders, technical reports, and other publications containing valuable technical or operational data.

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(h) Software Documentation - Releasable only per the provisions of DoD Instruction 7930.2 of 31 December 1979 (NOTAL).

(i) Specific Authority - To protect information not specifically included in the above reasons and discussions, but which requires protection per valid documented authority such as E.O.s, classification guidelines, DoD or DON regulations, or policy guidance. When filling in the reason, cite "Specific Authority (identification of valid documented authority)."

**C. "DISTRIBUTION STATEMENT C: Distribution authorized to U.S. Government agencies and their contractors; (fill in reason) (date). Other requests for this document shall be referred to (insert originating command)."**

(1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

(2) Reasons for assigning Distribution Statement C include:

- (a) FGI - Same as Distribution Statement B.
- (b) Critical Technology - Same as Distribution Statement B.
- (c) Software Documentation - Same as Distribution Statement B.
- (d) Administrative or Operational Use - Same as Distribution Statement B.
- (e) Specific Authority - Same as Distribution Statement B.

**D. "DISTRIBUTION STATEMENT D: Distribution authorized to DoD and DoD contractors only; (fill in reason) (date). Other U.S. requests shall be referred to (insert originating command)."**

(1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

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(2) Reasons for assigning Distribution Statement D include:

- (a) FGI - Same as Distribution Statement B.
- (b) Administrative or Operational Use - Same as Distribution Statement B.
- (c) Software Documentation - Same as Distribution Statement B.
- (d) Critical Technology - Same as Distribution Statement B.
- (e) Specific Authority - Same as Distribution Statement B.

e. "DISTRIBUTION STATEMENT E: Distribution authorized to DoD Components only; (fill in reason) (date). Other requests shall be referred to (insert originating command)."

(1) May be used on unclassified or classified technical documents if necessary to ensure distribution limitation in addition to need-to-know requirements of this regulation or in the event the document is declassified.

(2) Reasons for assigning Distribution Statement E include:

(a) Direct Military Support - Document contains export-controlled technical data of such military significance that release for purposes other than direct support of DoD-approved activities may jeopardize an important technological or operational military advantage of the U.S. Designation of such data is made by competent authority per OPNAVINST 5510.161 of 29 July 1985.

- (b) FGI - Same as Distribution Statement B.
- (c) Proprietary Information - Same as Distribution Statement B.
- (d) Premature Dissemination - Same as Distribution Statement B.
- (e) Test and Evaluation - Same as Distribution Statement B.

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(f) Software Documentation - Same as Distribution Statement B.

(g) Contractor Performance and Evaluation - Same as Distribution Statement B.

(h) Critical Technology - Same as Distribution Statement B.

(i) Administrative/Operational Use - Same as Distribution Statement B.

(j) Specific Authority - Same as Distribution Statement B.

f. "DISTRIBUTION STATEMENT F: Further dissemination only as directed by (insert originating command) (date) or higher DoD authority."

(1) Normally used only on classified technical documents, but may be used on unclassified technical documents when specific authority exists.

(2) Distribution Statement F is used when the originator determines that the information is subject to the special dissemination limitation specified in chapter 6, paragraph 6-11.3a.

(3) When a classified document assigned Distribution Statement F is declassified, the statement shall be retained until specifically changed or removed by the originating command.

g. "DISTRIBUTION STATEMENT X: Distribution authorized to U.S. Government agencies and private individuals or enterprises eligible to obtain export-controlled technical data in accordance with OPNAVINST 5510.161. Other requests shall be referred to (originating command)."

(1) This statement shall be used on unclassified documents when Distribution Statements B, C, D, E, or F are not applicable but the document contains technical data per OPNAVINST 5510.161 of 29 July 1985.

(2) This statement shall not be used on classified technical documents. It may be assigned to technical documents that formerly were classified.

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**ADDITIONAL NOTICES**

1. In addition to the distribution statement, the following notices shall be used when appropriate:

a. All technical documents determined to contain export-controlled technical data shall be marked "WARNING - This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C. Sec. 2751 et seq.) or the Export Administration Act of 1979, as amended, Title 50, U.S.C., App 2401, et seq. Violations of these export laws are subject to severe criminal penalties. Disseminate per the provisions of OPNAVINST 5510.161." When it is technically impracticable to use the entire statement, an abbreviated marking shall be used, and a copy of the full statement added to the "Notice To Accompany Release of Export Controlled Data" required by OPNAVINST 5510.161 of 29 July 1985.

2. Unclassified/Limited Distribution documents shall be handled using the same standard as FOUO information, and shall be destroyed by any method that will prevent disclosure of contents or reconstruction of the document. When local circumstances or experience indicate that this destruction method is not sufficiently protective of unclassified limited information, local authorities may prescribe other methods but must give due consideration to the additional expense balanced against the degree of sensitivity.

**CONTRACTOR IMPOSED DISTRIBUTION LIMITATIONS**

1. Contractors may have proprietary technical data to which the U.S. Government is given limited rights. The contractor shall place a limited rights statement on each document containing contractor controlled technical data furnished to the U.S. Government. Documents with limited rights information shall be assigned Distribution Statements B, E, or F.

2. Limited rights is defined as the right to use, duplicate, or disclose technical data in whole or in part, by or for the U.S. Government, with the express limitation that such technical data, without the written permission of the party furnishing the technical data, shall not be:

a. Released or disclosed in whole or in part outside the U.S. Government.

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b. Used in whole or in part by the U.S. Government for manufacture, or in the case of computer software documentation, for reproduction of the computer software.

c. Used by a party other than the U.S. Government, except for:

(1) Emergency repair or overhaul work only by or for the U.S. Government, when the item or process concerned is not otherwise reasonably available to enable timely performance of the work, provided that the release or disclosure outside the U.S. Government will be made subject to a prohibition against further use, release, or disclosure; or

(2) Release to a foreign government, as the interest of the U.S. Government may require, only for information or evaluation within the foreign government or for emergency repair or overhaul work by or for the foreign government under the conditions of subparagraph (1) above.

3. The limited rights statement remains in effect until changed or cancelled under contract terms or with the permission of the contractor and the controlling office notifies recipients of the document that the statement has been changed or cancelled. Upon cancellation of the limited rights statement, the distribution, disclosure, or release of the technical document will then be controlled by its security classification or, if it is unclassified, by the appropriate distribution statement.

CONTRACT CLAUSE FOR PROTECTION OF  
NAVAL NUCLEAR PROPULSION INFORMATION

During the performance of this contract Naval Nuclear Propulsion Information (NNPI) may be developed or used. Naval Nuclear Propulsion Information is defined as that information and/or hardware concerning the design, arrangement, development, manufacturing, testing, operation, administration, training, maintenance, and repair of the propulsion plants of Naval Nuclear Powered Ships including the associated shipboard and shore-based nuclear support facilities. Appropriate safeguarding must be proposed by the contractor and approved by the Contracting Officer for Security for the safeguarding from actual, potential or inadvertent release by the contractor, or any subcontractor, of any Naval Nuclear Propulsion Information in any form, classified or unclassified. Such safeguards shall ensure that only governmental and contractor parties, including subcontractors, that have an established need-to-know, have access in order to perform work under this contract, and then only under conditions which assure that the information is properly protected. Access by foreign nationals or immigrant aliens is not permitted. A foreign national or immigrant alien is defined as a person not a United States citizen or a United States national. United States citizens representing a foreign government, foreign private interests or other foreign nationals, are considered to be foreign nationals for industrial security purposes and the purpose of this restriction. In addition, any and all issue or release of such information beyond such necessary parties, whether or not ordered through an administrative or judicial tribunal, shall be brought to the attention of the Contracting Officer for Security.

The Contracting Officer for Security shall be immediately notified of any litigation, subpoena, or requests which either seek or may result in the release of Naval Nuclear Propulsion Information.

In the event that a court or administrative order makes immediate review by the Contracting Officer for Security impractical, the contractor agrees to take all necessary steps to notify the court or administrative body of the Navy's interest in controlling the release of such information through review and concurrence in any release.

The Contracting Agency reserves the right to audit contractor facilities for compliance with the above restrictions.

Exceptions to these requirements may only be obtained with prior approval from the Commander, Naval Sea Systems Command (O9T), Washington, DC.